



Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. CRDS-0058 (CRD0933CIP)	Application No. 10/829,044
	Applicant Robert Falotico, et al.	
	Filing Date April 21, 2004	Group 3772
	Confirmation No. 7418	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	1	861,659	07/30/07	Johnston	464	147
	2	3,051,677	08/28/62	Rexford	522	156
	3	3,279,996	10/18/66	Long et al.	424	424
	4	3,526,005	09/01/70	Bokros	623	11.11
	5	3,599,641	08/17/91	Sheridan	604	256
	6	3,744,596	07/10/73	Sander	188	203
	7	3,779,805	12/18/73	Alsberg	427	105
	8	3,929,992	12/30/75	Sehgal et al.	424	122
	9	3,948,254	04/06/76	Zaffaroni	128	833
	10	3,952,334	04/27/76	Bokros et al.	623	11.11
	11	3,968,800	07/17/76	Vilasi	606	198
	12	4,069,307	01/17/78	Higuchi et al.	424	432
	13	4,076,285	02/28/78	Martinez	285	332
	14	4,299,226	11/10/81	Banka	604	509
	15	4,300,244	11/17/81	Bokros	623	1.13
	16	4,312,920	01/26/82	Pierce et al.	428	425.5
	17	4,321,711	03/30/82	Mano	623	1.43
	18	4,323,071	04/06/82	Simpson et al.	606	194
	19	4,390,599	06/28/83	Broyles	428	597
	20	4,413,359	11/08/83	Akiyama et al.	623	23.72
	21	4,423,183	12/27/83	Close	524	546

EXAMINER
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Examiner Initial		Document No.	Date	Name	Class	Subclass
	22	4,512,338	04/23/85	Balko et al.	606	108
	23	4,550,447	11/05/85	Seiler, Jr. et al.	623	1.32
	24	4,560,374	12/24/85	Hammerslag	604	509
	25	4,562,596	01/07/86	Kronberg	623	1.32
	26	4,565,740	01/21/86	Golander et al.	428	409
	27	4,642,111	02/10/87	Sakamoto et al.	424	492
	28	4,656,083	04/07/87	Hoffman et al.	442	123
	29	4,676,241	06/30/87	Webb et al.	128	207.14
	30	4,678,466	07/07/87	Rosenwald	424	427
	31	4,687,482	08/18/87	Hanson	623	1.49
	32	4,689,046	08/25/87	Bokros	623	2.31
	33	4,731,054	03/15/88	Billeter et al.	604	93.01
	34	B1 4,733,665	01/11/94	Palmaz	606	108
	35	4,740,207	04/26/88	Kreamer	623	1.15
	36	4,749,585	06/07/88	Greco et al.	428	422
	37	4,753,652	06/28/88	Langer et al.	623	1.42
	38	4,760,849	08/02/88	Kropf	606	191
	39	4,768,507	09/06/88	Fischell et al.	623	1.11
	40	4,786,500	11/28/88	Wong	424	422
	41	4,787,899	11/29/88	Lazarus	623	1.11
	42	4,810,784	03/07/89	Larm	536	20
	43	4,871,357	10/03/89	Hsu et al.	604	266
	44	4,876,109	10/10/89	Mayer et al.	604	269
	45	4,994,298	02/19/91	Yasuda	427	490

EXAMINER

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	46	4,998,923	03/12/91	Samson et al.	606 194
	47	5,019,090	05/28/91	Pinchuk	623 1.15
	48	5,019,096	05/28/91	Fox, Jr. et al.	600 36
	49	5,029,877	07/09/91	Fedeli et al.	277 354
	50	5,034,265	07/23/91	Hoffman et al.	442 126
	51	5,047,020	09/10/91	Hsu	604 266
	52	5,049,403	09/17/91	Larm et al.	427 2.1
	53	5,059,166	10/22/91	Fischell et al.	600 3
	54	5,171,217	12/15/92	March et al.	604 507
	55	5,176,972	01/05/93	Bloom et al.	430 14
	56	5,202,332	04/13/91	Hughes et al.	514 291
	57	5,213,898	05/25/93	Larm et al.	428 422
	58	5,272,012	12/21/93	Opolski	428 423.1
	59	5,378,475	01/03/95	Smith et al.	424 473
	60	5,383,853	01/24/95	Jung et al.	604 103.04
	61	5,391,730	02/21/95	Skotnicki et al.	540 456
	62	5,399,352	03/21/95	Hanson	424 423
	63	5,417,969	05/23/95	Hsu et al.	424 78.27
	64	5,464,540	11/07/95	Friesen et al.	210 640
	65	5,474,563	12/12/95	Myler et al.	606 108
	66	5,504,091	04/02/96	Molnar-Kimber et al.	514 291
	67	5,512,055	04/30/96	Domb et al.	604 265
	68	5,519,042	05/21/96	Morris et al.	514 378
	69	5,559,122	09/24/96	Nelson et al.	514 291

EXAMINER

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	70	5,569,462	10/29/96	Martinson et al.	424	423
	71	5,569,463	10/29/96	Helmus et al.	424	426
	72	5,571,089	11/05/96	Crocker	604	103.01
	73	5,575,818	11/19/96	Pinchuk	623	1.15
	74	5,604,283	02/18/97	Wada et al.	524	236
	75	5,616,608	04/01/97	Kinsella et al.	514	449
	76	5,632,771	05/27/97	Boatman et al.	623	1.15
	77	5,632,776	05/27/97	Kurumatani et al.	424	423
	78	5,635,201	06/03/97	Fabo	424	443
	79	5,653,747	08/05/97	Dereume	623	1.54
	80	5,665,591	09/09/97	Sonenshein et al.	435	375
	81	5,667,764	09/16/97	Kopia et al.	424	1.45
	82	5,672,638	09/30/97	Verhoeven et al.	523	112
	83	5,674,242	10/07/97	Phan et al.	606	198
	84	5,684,061	11/04/97	Ohnishi et al.	523	114
	85	5,691,311	11/25/97	Marganore et al.	514	12
	86	5,713,949	02/03/98	Jayaraman	623	1.12
	87	5,716,981	02/10/98	Hunter et al.	514	449
	88	5,755,772	05/26/99	Evans et al.	128	898
	89	5,759,205	06/02/98	Valentini	433	173
	90	5,780,462	07/14/98	Lee et al.	514	183
	91	5,792,106	08/11/98	Mische	604	103.01
	92	5,827,587	10/27/98	Fukushi	428	36.6
	93	5,843,120	12/1/98	Israel et al.	623	1.15

EXAMINER

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	94	5,843,166	12/1/98	Lentz et al.	623	1.13
	95	5,877,224	03/02/99	Brocchini et al.	514	772.2
	96	5,893,840	04/13/99	Hull et al.	604	103.02
	97	5,897,911	04/27/99	Loeffler	427	2.25
	98	5,912,253	06/15/99	Cottens et al	514	291
	99	5,916,910	06/29/99	Lai	514	423
	100	5,922,393	07/13/99	Jayaraman	427	2.3
	101	5,922,730	07/13/99	Hu et al.	514	291
	102	5,932,243	08/03/99	Fricker et al.	424	450
	103	5,932,299	08/03/99	Katoot	427	508
	104	5,932,580	08/03/99	Levitzki et al.	181	152
	105	5,968,091	10/19/99	Pinchuk et al.	623	1.16
	106	6,015,432	01/18/00	Rakos et al.	623	1.13
	107	6,015,815	01/18/00	Mollison	514	291
	108	6,120,847	09/19/00	Yang et al.	427	335
	109	6,159,488	12/12/00	Nagier et al.	424	423
	110	6,179,817 B1	01/30/01	Zhong	604	265
	111	6,187,757	02/13/01	Clackson et al.	514	031
	112	6,193,746	02/27/01	Strecker	623	1.13
	113	6,225,346	05/01/01	Tang et al.	514	523
	114	6,245,537	06/12/01	Williams et al.	435	135
	115	6,251,920	06/26/01	Grainger et al.	514	319
	116	6,254,634 B1	07/03/01	Anderson et al.	623	1.42
	117	6,284,305	09/04/01	Ding et al.	427	2.28

EXAMINER**DATE CONSIDERED**

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	119	6,316,018	11/13/01	Ding et al.	424	423
	120	6,335,029	01/01/02	Kamath et al.	424	423
	121	6,358,556	03/19/02	Ding et al.	427	2.24
	122	6,369,039	04/09/02	Palasis et al.	424	93.2
	123	6,379,382	04/30/02	Yang	623	1.42
	124	6,387,121	05/14/02	Alt	623	1.15
	125	6,403,635	06/11/02	Kinsella et al.	514	449
	126	6,407,067 B1	06/18/02	Schafer	514	19
	127	6,517,858	02/11/03	Le Moel et al.	424	424
	128	6,517,889 B1	02/11/03	Jayaraman	427	2.24
	129	6,545,097	04/08/03	Pinchuk et al.	525	240
	130	6,585,764 B2	07/01/03	Wright et al.	623	1.42
	131	6,620,194	09/16/03	Ding et al.	623	1.43
	132	6,746,773	06/08/04	Llanos et al.	428	421
	133	6,776,796	08/17/04	Falotico et al.	623	1.46
	134	6,808,536 B2	10/26/04	Wright et al.	623	1.42
	135	2001/0029351 A1	10/11/01	Falotico et al.	604	103.02
	136	2002/0010418 A1	01/24/02	Lary et al.	604	101.04
	137	2002/0032477 A1	03/14/02	Helmus et al.	623	1.2
	138	2002/0041899 A1	04/11/02	Chudzik et al.	424	487
	139	2002/0061326 A1	05/23/02	Li et al.	424	424
	140	2002/0068969 A1	06/06/02	Shanley et al.	623	1.16
	141	2002/0071902 A1	06/13/02	Ding et al.	427	2.24

EXAMINER**DATE CONSIDERED**

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U. S. PATENT DOCUMENTS

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	143	2002/0082685 A1	06/27/02	Sirhan et al.	623	1.42
	144	2002/0091433 A1	07/11/02	Ding et al.	623	1.2
	145	2002/0095114 A1	07/18/02	Palasis	604	96.01
	146	2002/0099438 A1	07/25/02	Furst	623	1.16
	147	2002/0103526 A1	08/01/02	Steinke	623	1.11
	148	2002/0119178 A1	08/29/02	Levesque et al.	424	423
	149	2002/0123505 A1	09/05/02	Mollison et al.	514	291
	150	2002/0127327 A1	09/12/02	Schwartz et al.	427	2.15
	151	2002/0133222 A1	09/19/02	Das	623	1.16
	152	2002/0133224 A1	09/19/02	Bajgar et al.	623	1.39
	153	2002/0165608 A1	11/07/02	Llanos	604	500
	154	2002/0193475 A1	12/19/02	Hossainy et al.	524	113
	155	2003/0065377 A1	04/03/03	Davila et al.	604	265
	156	2004/0049265 A1	03/11/04	Ding et al.	623	1.42
	157	2004/0243097 A1	12/02/04	Falotico et al.	604	500
	158	2004/0260268 A1	12/23/04	Falotico et al.	604	500
	159	2005/0002986 A1	01/06/05	Falotico et al.	424	426
	160	2005/0004663 A1	01/06/05	Llanos et al.	623	1.46
	161	2005/0033261 A1	02/10/05	Falotico et al.	604	500
	162	2005/0106210 A1	05/19/05	Ding et al.	424	423
	163	2005/0187611 A1	08/25/05	Ding et al.	623	1.15
	164	2005/0208200 A1	09/22/05	Ding et al.	427	2.25
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EXAMINER

DATE CONSIDERED

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U. S. PATENT DOCUMENTS

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	174	0 177 330 A2	04/09/86	EP		
	175	0 183 372 A1	06/04/86	EP		
	176	0 221 570 A2	05/13/87	EP		
	177	0 421 729 A2	04/10/91	EP		
	178	0 540 290 B1	01/28/98	EP		
	179	0 633 032 B1	02/28/01	EP		
	180	0 747 069 A2	12/11/96	EP		
	181	0 815 803 A1	07/01/98	EP		
	182	0 968 688 A1	01/05/00	EP		
	183	1 192 957 A2	04/03/02	EP		
	184	2 135 585 A	09/05/84	GB		
	185	19723723 A1	12/03/98	DE	X abstract	
	186	660689	05/05/79	SU		X
	187	1457921	02/15/89	SU		X
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EXAMINER

DATE CONSIDERED

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	195	02/26139 A1	04/04/02	WO		
	196	02/26271 A1	04/04/02	WO		
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	198	02/26281 A1	04/04/02	WO		
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EXAMINER

DATE CONSIDERED

Form PTO-1449 Modified		Docket No. CRDS-0058 (CRD0933CIP)	Application No. 10/829,044
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U.S. Department of Commerce Patent and Trademark Office		Filing Date April 21, 2004	Group 3772
		Confirmation No. 7418	
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	207	Alvarado, R. et al., "Evaluation of Polymer-coated Balloon-expandable Stents in Bile Ducts," <i>Radiology</i> , 1989, 170, 975-978	
	208	Badimon, J. J. et al., "Inhibitory Effects of Rapamycin on Intimal Hyperplasia After PTCA," <i>JACC</i> , March 1998	
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	210	Berk, B. C. et al., "Pharmacologic Roles of Heparin and Glucocorticoids to Prevent Restenosis After Coronary Angioplasty," <i>JACC</i> , May 1991, 17(6), 111B-117B	
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	215	Chung, J. et al., "Rapamycin-FKBP specifically blocks growth-dependent activation of and signaling by the 70 kd S6 protein kinases," <i>Cell</i> , June 26, 1992, 69(7), 1227-1236	
	216	Clowes, A. W. et al., "Kinetics of cellular proliferation after arterial injury. IV. Heparin inhibits rat smooth muscle mitogenesis and migration," <i>Circ. Res.</i> , 1986, 58(6), 839-845	
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	218	Clowes, A. W. et al., "Significance of quiescent smooth muscle migration in the injured rat carotid artery," <i>Circ Res.</i> 1985, 56(1), 139-145	

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220	Colburn, M. D. et al., "Dose responsive suppression of myointimal hyperplasia by dexamethasone," <i>J. Vasc. Surg.</i> , 1992, 15, 510-518
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	Applicant Robert Falotico, et al.	
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EXAMINER

DATE CONSIDERED

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. CRDS-0058 (CRD0933CIP)	Application No. 10/829,044
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	Filing Date April 21, 2004	Group 3772
	Confirmation No. 7418	

NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER**DATE CONSIDERED**

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. CRDS-0058 (CRD0933CIP)	Application No. 10/829,044
		Applicant Robert Falotico, et al.	
		Filing Date April 21, 2004	Group 3772
		Confirmation No. 7418	
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EXAMINER**DATE CONSIDERED**

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. CRDS-0058 (CRD0933CIP)	Application No. 10/829,044
	Applicant Robert Falotico, et al.	
	Filing Date April 21, 2004	Group 3772
	Confirmation No. 7418	

NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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	Applicant Robert Falotico, et al.	
	Filing Date April 21, 2004	Group 3772
	Confirmation No. 7418	

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	Applicant Robert Falotico, et al.	
	Filing Date April 21, 2004	Group 3772
	Confirmation No. 7418	

NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. CRDS-0058 (CRD0933CIP)	Application No. 10/829,044
	Applicant Robert Falotico, et al.	
	Filing Date April 21, 2004	Group 3772
	Confirmation No. 7418	

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	Applicant Robert Falotico, et al.	
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	Confirmation No. 7418	

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	383	Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications," Radiology, Vol. 58, pgs. 309-312, 1986. (DBX 2938)
	384	Palmaz et al., Article: "Expandable Intraluminal Graft: A Preliminary Study," Radiology, Vol. 156, pgs. 73-77 (DBX 4595)

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	Filing Date April 21, 2004	Group 3772
	Confirmation No. 7418	

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	385	Program for the 12th Annual Course on Diagnostic Angiography and Interventional Radiology 3/23-26/87 sponsored by The Society of Cardiovascular and Interventional Radiology (DBX 6235)
	386	Preliminary Motion for Judgment re: Wolff claims 1, 2-8, 10, 15 and 19 (DBX6759)
	387	Palmaz Declaration (DBX 7069)
	388	Letter from Gaterud to Dr. Palmaz dated July 5, 1988 with attached document entitled: "Segmented, balloon-expandable stents." (DBX 7160)
	389	Duprat et al., Article: "Flexible Balloon-Expandable Stent For Small Vessels," Radiology, Vol. 168, pgs. 276-278, 1987 (PX 82)
	390	Drawing Sent to Bodic on March 17, 1986 (PX 374)
	391	Letter from Dr. Palmaz to R. Bowman enclosing a model of the flexible coronary graft dated March 17, 1986 (PX 337)
	392	Lab Notebook pages dated July 30, 1987 from Rodney Wolff (COR 185596-597) (PX621A)
	393	Charnsangavej, et al., Article: "Stenosis of The Vena Cava Preliminary Assessment of Treatment with expandable Metallic Stents," Radiology, Vol. 161, No. 2, pgs. 295-298 with attached photographs, 1986. (API 72)
	394	J. Palmaz: The Current Status of Vascular Prostheses, published by SCIR in the Twelfth Annual Course on Diagnostic Angiography And Interventional Radiology March 23-26, 1987. (API 73)
	395	Amendment in Response to Office Action of October 18, 1998 in re: Application of Julio Palmaz S/N 174,246. (API 152)
	396	Article: Wallace, et.al., Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work In Progress, Radiology, Vol. 158, pp. 309-312. (API 295)
	397	Reply of Senior Party Schatz To Patentee Wolffs Opposition To The Belated Motion For Judgment Of Applicant Schatz With Regard To Wolff Claims 1, 2-8, 10, 11, 13-17, And 19 (COR 186450-455) (API 310)
	398	Brief Of Senior Party Schatz At Final Hearing (API 313)
	399	Copy of Letter from Ron Sickles to Ben Tobor dated 2/10/88 (Exhibit 42)

EXAMINER**DATE CONSIDERED**

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. CRDS-0058 (CRD0933CIP)	Application No. 10/829,044
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	Confirmation No. 7418	

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	400	Copy of Letter from R.O. Sickles to Mike Tatlow dated 5/12/88 (Exhibit 43)
	401	Copy of Letter from R. O. Sickles to Richard Schatz dated 6/2/88 (Exhibit 44)
	402	Copy of Letter from Richard Schatz to Raimund Erbel dated 6/3/88 (Exhibit 45)
	403	Copy of Letter from Richard Schatz to Mike Schuler dated 8/29/91 (Exhibit 48)
	404	Minutes of J&J Stent Project Review Meeting dated 1/21/88 (Exhibit 7)
	405	Preliminary Motion for Judgment with Regard to Wolff Claims 1, 2-8, 10, 11, 13-17, and 19. (Exhibit 67)
	406	Declaration of Richard A Schatz. (Exhibit 75)
	407	Belated Motion for Judgement with Regard to Wolff Claims 1, 2-8, 10, 11, 13-17 and 19. (Schatz - Exhibit 77)
	408	Letter from Dr. Schatz to Mr. Tobor, dated 6/3/88. (Exhibit 122)
	409	Letter from Dr. Schatz to Mr. Romano, dated 11/28/88. (Exhibit 131)
	410	Letter from Mr. Sickles to Mr. Tobor, dated 2/10/88 (Exhibit 145)
	411	Richard A. Schatz, Article titled: "A View of Vascular Stents" Circulation, Vol 79, No.2, pgs. 445-457, 1989. (Exhibit 194)
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	413	Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications' Work In Progress," Radiology, Vol. 158, pp. 309-312, 1986. (Exhibit 165)
	414	Charnsangavej, et al., Article: "Stenosis of The Vena Cava Preliminary Assessment of Treatment with expandable Metallic Stents," Radiology, Vol. 161, No. 2, pgs. 295-298 with attached photographs, 1986. (Exhibit 167)
	415	David D. Lawrence et al., Publication: "Percutaneous Endovascular Graft: Experimental Evaluation", Radiology, pgs. 163, 357-360, 1987. (Exhibit 173)
	416	Charles E. Putnam, M.D., Cover and article from "Investigative Radiology", Vol. 23, No. 5, May 1988. (Exhibit 177)

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	417	Robert N. Berk, Cover and article from "American Journal of Roentology", pgs. 673-676, 1988. (Exhibit 178)
	418	Declaration of John S. Kula Under 37 CFR § 1.672. (Kula - Exhibit 77)
	419	Yoshioka et al., Article: "Self-Expanding Endovascular Graft: An Experimental Study in Dogs" AJR, Vol. 151, pgs. 673-676, 1988. (PX 100)
	420	Palmaz, et al., Article: "Expandable Intraluminal Graft: A Preliminary Study Work in Progress", Radiology, Vol. 156, No. 1, pgs. 73-77, 1985. (PX 101)
	421	Declaration of Richard Schatz Under 37 C.F.R. § 1.672. (PX 106)
	422	Charnsangavej et al., Article: "Stenosis of the Vena Cave: Preliminary Assessment of Treatment with Expandable Metallic Stents," Radiology, Vol. 161, pgs. 295-298, 1986. (PX 143)
	423	Wallace, et al., Article: "Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications Work in Progress", Radiology, Vol. 158, pgs. 309-312, 1986. (PX 144)
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	425	Duprat, et al., Article: "Flexible Balloon- Expanded Stent for Small Vessels Work in Progress", Radiology, Vol. 162, pgs. 276-278, 1987. (PX 207)
	426	Letter from Palmaz to Bowman dated March 17, 1986. (PX 350)
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	428	Kuntz, et al., Article: Clinical Cardiology Frontiers: "Defining Coronary Restenosis, Newer Clinical and Angiographic Paradigms", Circulation, Sept. 1993, Vol 88, No. 3, pgs. 1310-1323. (PX 854)
	429	Belated Motion for Judgment with regard to Wolff Claims 1, 2-8, 10, 11, 13-17, and 19. (PX 1410)
	430	Drawing of Spiral Stent (sent to Bodic 3/17/1986). (PX2933)
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	432	Charnsangavej et al., Article: "A New Expandable Metallic Stent for Dilatation of Stenotic Tubular Structures: Experimental and Clinical Evaluation," Houston Medical Journal, Vol. 3, pgs. 41-51, June 1987. (PX 3207)

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	Filing Date April 21, 2004	Group 3772
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	434	Transmittal Letter of Response to First Office Action in '417 patent. (PX 3993)
	435	Letter from B. Tobor to R. Schatz dated July 23, 1991. (PX 3996)
	436	Mullins et al., Article: "Implantation of balloon-expandable intravascular grafts by catheterization in pulmonary arteries and systemic veins," Circulation, Vol. 77, No. 1, pgs. 188-189, 1988. (PX4049)
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	442	Richard A. Schatz, Article: "New Technology in Angioplasty: Balloon-Expandable Starts," Medicamundi, Vol 33, No. 3, pgs. 112-116, 1988. (PX 4055)
	443	Letter from Tobor to Schatz dated September 29, 1988. (PX 1395)
	444	Verified Statement of Facts by Unnamed Inventor R.A. Schatz document filed in U.S. Patent and Trademark Office on September 8, 1989. (PX 3677)
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	446	Letter to Mike Schular from R.A. Schatz dated August 29, 1991. (Exhibit 402)
	447	Articulated, Balloon - Expandable Stents, (DBX 7159)
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	451	I.E. Gordon, Structures or Why Things Don't Fall Down, Penguin Books, pgs. 45-59, 132-148, 210-244, 377-383	
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	469	Arterial Vascular Engineering, Inc. v. Cordis Corporation, Johnson & Johnson and Expandable-Grafts Partnership, Cordis Corporation and Johnson & Johnson's Answer and Counterclaim, February 27, 1998 (Civil Action No. 97-700-SLR)	
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EXAMINER

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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. CRDS-0058 (CRD0933CIP)	Application No. 10/829,044
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475	Deposition of R. Schatz, M.D. in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 8, 1998 (Civil Action No. 97-550 SLR)
476	Deposition of Lee P. Bendel in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 22, 1998 (Civil Action No. 97-550 SLR)
477	Deposition of Julio Cesar Palmaz in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on December 29, 1997 (Civil Action No. 97-550 SLR)
478	Deposition of Richard A. Bowman in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 9, 1998 (Civil Action No. 97-550 SLR)
479	Deposition of Gary Schneiderman in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on January 16, 1998 (Civil Action No. 97-550 SLR)
480	Deposition of David Pearle, M.D. in Cordis Corporation v. Advanced Cardiovascular Systems, Inc., taken on July 10, 1998 (Civil Action No. 97-550 SLR)
481	Preliminary Injunction hearing testimony taken on February 9-13, 1998 (Civil Action No. 97-550 SLR)
482	Cordis Corporation v. Advanced Cardiovascular Systems, Inc., et al., (Civil Action No. 97-550 SLR) and Cordis Corporation v. Advanced Cardiovascular Systems, Inc. Et al. (Civil Action No. 98-65-SLR), Opening Post Hearing Brief of Plaintiff Cordis Corporation in Support of Motion for Preliminary Injunction, March 6, 1998 (Portions relevant to patent claim construction and patent validity issues)
483	Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc. et al., Post-Hearing Reply Brief of Plaintiff Cordis Corporation in Support of Its Motion for Preliminary Injunction, April 10, 1998 (Case No. 97-550 SLR) (Portions relevant to patent validity issues)

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	Applicant Robert Falotico, et al.	
	Filing Date April 21, 2004	Group 3772
	Confirmation No. 7418	

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485	Cordis Corporation and Expandable Grafts Partnership v. Advanced Cardiovascular Systems, Inc., et al., Judge Robinson's Order Denying Plaintiffs Motion for a Preliminary Injunction, July 17, 1998 (Civil Action No. 97-550 SLR)
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		Applicant Robert Falotico, et al.	
		Filing Date April 21, 2004	Group 3772
		Confirmation No. 7418	
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	504	Translation of Nullity Action Against EPO 0 364 787 by Biotronik in Germany	
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	506	Translation of EPG Response to Nullity Action Against EP 0 364 787 by Biotronik in Germany	
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EXAMINER

DATE CONSIDERED

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. CRDS-0058 (CRD0933CIP)	Application No. 10/829,044
	Applicant Robert Falotico, et al.	
	Filing Date April 21, 2004	Group 3772
	Confirmation No. 7418	

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	520	Cordis' Answering Brief in Opposition to BSC's Motion for JMOL or a New Trial on the Palmaz '762 Patent and the Schatz '332 Patents, dated April 17, 2001
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		Confirmation No. 7418	
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EXAMINER

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		Filing Date April 21, 2004	Group 3772
		Confirmation No. 7418	
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